



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert J. Klein et al.

Atty Dkt: 47171-00272 USC1

Serial No.: 09/635,967

Group Art: 3652

Filed: August 10, 2000

Examiner: Jeffrey Shapiro

Title: METHOD AND APPARATUS FOR  
DOCUMENT PROCESSING

THIRD INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§1.97 and 1.98

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. on the date indicated below:

5/29/02  
Date

Maurice Thillashammond  
Signature

Commissioner for Patents  
Washington, D.C. 20231

Dear Commissioner:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the reference(s) listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R. § 1.98(d), copies of the listed references are enclosed.

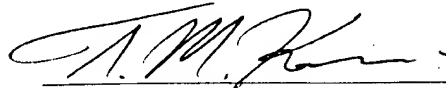
In accordance with 37 C.F.R. §§ 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability as defined in 37 C.F.R. §§ 1.56.

The present Information Disclosure Statement is being submitted after the mailing of the first Office Action reflecting an examination on the merits but before the mailing of a Final Rejection or Notice of Allowance. Accordingly, in accordance with 37 C.F.R. § 1.97(c), a fee of \$180 is included herewith. If this check is inadvertently not enclosed, or is in error in

amount, the Commissioner is authorized to charge any deficiency or to credit any over payment to Jenkins & Gilchrist Account No. 10-0447/47171-00272USC1.

Respectfully submitted,

28 MAY 2002  
Date



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Form PTO-1449 (modified)	Atty. Docket No. 47171-00272 (CUMM:272)	Serial No. 09/635,967
List of Patents and Publications for Applicant's <b>3<sup>RD</sup> INFORMATION DISCLOSURE STATEMENT</b>	Applicant Robert J. Klein et al.	
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U.S. Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	H01	3,759,382	9/73	Walkley et al.	209	111.7	
	H02	3,952,183	4/76	Abe	235	92SB	
	H03	4,166,945	9/79	Inoyama et al.	235	379	
	H04	4,243,216	1/81	McInerny	271	122	
	H05	4,416,449	11/83	McInerny	271	122	
	H06	4,420,153	12/83	Winkler et al.	271	304	
	H07	4,588,292	5/86	Collins	356	71	
	H08	4,707,843	11/87	McDonald et al.	377	8	
	H09	4,761,002	8/88	Reed et al.	271	111	
	H010	4,764,725	8/88	Bryce	324	234	
	H011	4,850,468	7/89	Kobayashi et al.	194	207	
	H012	5,167,411	12/92	Isobe	271	273	
	H013	5,242,041	9/93	Isobe	194	207	
	H014	5,259,490	11/93	Gardellini	194	207	
	H015	5,301,786	4/94	Yosihara	194	207	
	H016	5,419,423	5/95	Ishida et al.	194	206	
	H017	5,421,443	6/95	Hatamachi et al.	194	206	
	H018	5,761,089	6/98	McInerny	364	550	
	H019	5,915,518	6/99	Hopwood et al.	194	207	
	H020	5,975,273	11/99	Zwahlen et al.	194	206	
	H021	5,992,601	11/99	Mennie et al.	194	207	
	H022	6,012,565	01/00	Mazur	194	207	
	H023	6,073,744	6/00	Rateman et al.	194	207	
	H024	6,128,402	10/00	Jones et al.	382	135	
	H025	6,220,419	4/01	Mennie	194	207	
	H026	6,237,739	5/01	Mazur et al.	194	207	
	H027	6,241,069	6/01	Mazur et al.	194	207	
	H028	6,256,407	7/01	Mennie et al.	382	135	
	H029	6,278,795	8/01	Anderson et al.	382	135	

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
EXAMINER: INITIAL IF REFERENCE CONSIDERED. WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

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List of Patents and Publications for Applicant's <b>3<sup>RD</sup> INFORMATION DISCLOSURE STATEMENT</b>	Applicant Robert J. Klein et al.	
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	H030	6,311,819	11/01	Stromme et al.	194	207	
	H031	6,318,537	11/01	Jones et al.	194	346	
	H032	6,351,551	2/02	Munro et al.	382	135	
	H033	6,363,164	3/02	Jones et al.	382	135	
	H034	6,371,303	4/02	Klein et al.	209	534	
	H035	6,378,683	4/02	Mennie	194	207	
	H036	6,381,354	4/02	Mennie et al.	382	135	

### Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	I01	GB 2,038,063	07/80	Great Britain			
	I02	GB 2,204,166A	11/88	Great Britain			

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)